



2834  
JLW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 09/719,469 Confirmation No. 8816  
Applicant : Lothar Fauth  
Filed : April 18, 2001  
TC/A.U. : 2834  
Examiner : Karl I. Tamai  
  
Docket No. : R.35636  
Customer No. : 02119

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Date: October 18, 2004

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97(c),  
AND EXPLANATION OF THE RELEVANCE OF THE CITED ART**

Sir:

The prior art listed on the attached form 1449 is prior art which came to the attention of the undersigned after receipt of the Examiners Answer.

While it is believed that none of the prior art cited on the PTO-1449 interferes with the allowability of claims 8-14 of this application and thus is not required to be submitted as material to the consideration of patentability under 37 CFR 1.56, and in view of the fact that the timing of this submission of prior art precludes compliance with 37 CFR 1.97 and 1.98, nevertheless it is requested that this paper be placed of record in the application file.

A synopsis of the prior art cited on the attached form 1449 is as follows:

10/19/2004 HVUONG1 00000032 072100 09719469

01 FC:1806 180.00 DA

Appl. No. 09/719,469  
IDS filed October 18, 2004  
After first Office Action

**DE 4,125,491**

According to the teachings of this patent, when a bearing (5) has to be mounted on a shaft (1) provided with an endless screw (3), so that the diameter of the bearing section (4) is larger than the outer diameter of the screw, not only the screw (3) but also the bearing section (4) are formed by rolling without cutting the base material, thus saving material. If necessary, the outside surface of the bearing section (4) may be preferably ground to give it the requested dimensions and a cylindrical shape.

Examination of this application is respectfully requested.

Respectfully submitted,



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REG/SLS/elb/nc  
Customer No. 02119

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<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>	Docket Number (Optional) <b>R.35636</b>	Application Number <b>09/719,469</b>
	Applicant(s) <b>Lothar FAUTH</b>	
	Filing Date <b>April 18, 2001</b>	Group Art Unit <b>2834</b>

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		DE 4,125,491	02-04-1993	Germany			✓	

OTHER DOCUMENTS <span style="float: right;"><i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i></span>		
	REF	

EXAMINER	DATE CONSIDERED
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**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



# FEE TRANSMITTAL for FY 2005

Effective 10/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) \$180.00

## Complete if Known

Application Number	09/719,469
Filing Date	April 18, 2001
First Named Inventor	Lothar Fauth
Examiner Name	Karl I. Tamai
Art Unit	2834
Attorney Docket No.	R.35636

## METHOD OF PAYMENT (check all that apply)

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit  
Account  
Number

07-2100

Deposit  
Account  
Name

RONALD E. GREIGG

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☐ Credit any overpayments

☒ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	790	2001	395	Utility filing fee	
1002	350	2002	175	Design filing fee	
1003	550	2003	275	Plant filing fee	
1004	790	2004	395	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### 2. EXTRA CLAIM FEES FOR UTILITY AND

Extra Claims		Fee from below	Fee Paid
Total Claims	-20** =		
Independent Claims	-3** =		
Multiple Dependent			

Large Entity		Small Entity		Fee Description
Fee Code	Fee (\$)	Fee Code	Fee (\$)	
1202	18	2202	9	Claims in excess of 20
1201	88	2201	44	Independent claims in excess of 3
1203	300	2203	150	Multiple dependent claim, if not paid
1204	88	2204	44	** Reissue independent claims over original patent
1205	18	2205	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) \$0.00

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non - English specification	
1812	2,520	1812	2,520	For filing a request for <i>ex parte</i> reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	430	2252	215	Extension for reply within second month	
1253	980	2253	490	Extension for reply within third month	
1254	1,530	2254	765	Extension for reply within fourth month	
1255	2,080	2255	1,040	Extension for reply within fifth month	
1401	340	2401	170	Notice of Appeal	
1402	340	2402	170	Filing a brief in support of an appeal	
1403	300	2403	150	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,370	2453	685	Petition to revive - unintentional	
1501	1,370	2501	685	Utility issue fee (or reissue)	
1502	490	2502	245	Design issue fee	
1503	660	2503	330	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR § 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Statement	180.00
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	790	2810	395	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	790	2801	395	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	

Other fee (specify) \_\_\_\_\_

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) \$180.00

## SUBMITTED BY

Name (Print/Type) RONALD E. GREIGG  
Signature

Registration No.  
(Attorney/Agent)

31,517

## Complete (if applicable)

Telephone

(703) 838-5509

Date

October 18, 2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.